

OFFICE OF FINANCE  
REFUND FORM 19-4

(Re 1.85-11/00 Pub 605)

FORM 19-4

19-13

2001 SEP 31 PM 2:30

US PATENT & TRADEMARK  
OFFICE

#25/Reg. for  
Refund  
Hawkins  
2/6/02

Practitioner's Docket No. 66,291-170

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- ☒ In re application of: Leijon et al.  
Application No.: 08 / 952,995 Group No.: 2834  
Filed: 03/26/98 Examiner: Enad  
For: HIGH VOLTAGE PLANTS WITH ELECTRIC MOTORS (as amended)
- ☐ Patent\*: Issued:

\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where request is with respect to a maintenance fee payment also insert application number and filing date and add Box M. Fee to address.

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account  
04-2223, shown on the statement dated 04/30/01, for the  
above-identified

- ☒ application.  
☐ patent.

(check the following, if desired, and supply copy of statement)

- ☒ A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

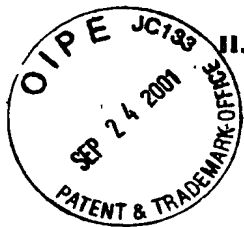
☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 09/18/01

Signature

Mary P. Peltier

(type or print name of person certifying)



II. FEES CHARGED FOR WHICH REFUND REQUESTED

AMOUNT OF  
REFUND  
REQUESTED

- ☐ Filing fee  
☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application  
(37 C.F.R. § 1.16(e))

and/or

- ☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application  
(37 C.F.R. § 1.16(e))

- ☒ Extension of term

- ☐ first month  
☐ second month  
☒ third month  
☐ fourth month  
☐ fifth month

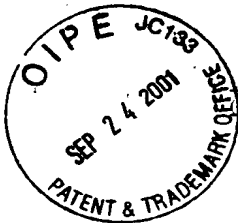
390.00

- ☐ Excess claims  
☐ Issue fee  
☐ Petition fee  
☐ Patent maintenance fee  
☐ first maintenance fee  
☐ second maintenance fee  
☐ third maintenance fee  
☐ Patent maintenance fee surcharge  
☐ Other \_\_\_\_\_

TOTAL REFUND REQUESTED

390.00

(Request for Refund (Improper Charge of Credit Card Account) [19-4]—page 2 of 3)



### III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

On March 5, 2001, Applicant filed an Amendment in this matter, requested a two month extension, and authorized the deduction of the \$390.00 two month extension fee from Deposit Account No. 04-2223 (Exhibit A). This fee was deducted from the Deposit Account on March 16, 2001 (Exhibit B).

On April 11, 2001, Applicant filed a Notice of Appeal and requested a three month extension. The three month extension fee, normally \$890.00, was reduced by the \$390.00 two month extension fee previously paid. The balance of the fee due, \$500.00, was authorized to be deducted from Deposit Account No. 04-2223 (Exhibit C). On April 16, 2001, Deposit Account No. 04-2223 was charged \$890.00 for the third month extension fee (Exhibit D). Therefore, \$390.00 of the third month extension fee was improperly charged to Deposit Account No. 04-2223.

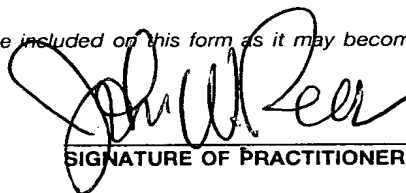
### IV. MANNER OF REFUND

Please make refund by

- ☒ crediting Account No. 04-2223
- ☐ crediting credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should **not** be included on this form as it may become public.

- ☐ refunding payment.



SIGNATURE OF PRACTITIONER

Reg. No.: 38,278

John W. Rees

(type or print name of practitioner)

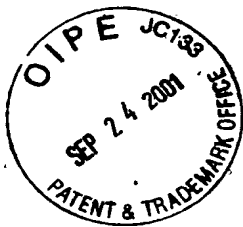
Tel. No.: ( 248 ) 203-0832

39577 Woodward Avenue, Suite 300

P.O. Address

Customer No.: 26127

Bloomfield Hills, Michigan 48304-5086



### EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. § 1.645 for extensions of time in interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.17(a)(1)-(4) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input checked="" type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

Fee: \$ 390.00

If an additional extension of time is required, please consider this a petition therefor.

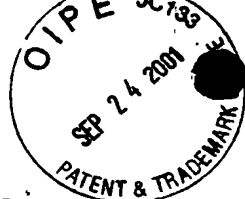
(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 390.00

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this is a conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

Account No.	042223
Date	3-30-01
Page	4

DYKEMA GOSSETT PLLC  
MARY F. PELTIER  
39577 WOODWARD AVENUE  
SUITE 300  
BLOOMFIELD HILLS MI 48304-2820

FINA

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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3	13	01	104	1881871		373	200.00	34150.66
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3	13	01	126	66534-005 76221984	DNH 066797-0001	361	325.00	33500.66
3	13	01	127	66534-007 76221988	DNH 066797-0003	361	650.00	32850.66
3	13	01	138	66291-155 08973306	SWR 05/70563-2/	117 ✓	890.00	31960.66
3	13	01	139	08973306	705/70563-2/	119	310.00	31650.66
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3	13	01	163	66534-006 76222084	DNH 66797-002	361	650.00	30675.66
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3	13	01	238	1154680		372	100.00	30175.66
3	13	01	292	66409-174 09371495	RHT 72015-7	216 ✓	280.00	29895.66
3	13	01	293	66800-001 09369067	WAB P/3630-2	219 ✓	155.00	29740.66
3	14	01	21	66455-101 6050334	RHT 70773-7	145	100.00	29640.66
3	14	01	21	1653194	SSN 66501-001	372	100.00	29540.66
3	14	01	26	5665185	ABST 84075-002	283 ✓	425.00	29115.66
3	14	01	59	75903733	CRR 650430623	481	40.00	29075.66
3	14	01	60	75903733	650430623	482	225.00	28850.66
3	14	01	92	66438-001 09249015	RHT 71749-7	242 ✓	620.00	28230.66
3	14	01	93	66455-069 09219514	SSN 71679-5; 6645	142 ✓	1240.00	26990.66
3	14	01	105	09714638	RHT 66374-102-7	581 ✓	40.00	26950.66
3	15	01	98	09194562	JPD 66291-1422	117	890.00	26060.66
3	15	01	185	09742302	LPR 66476-024-5	201 ✓	355.00	25705.66
3	15	01	186	09742302	66476-024-5	205 ✓	65.00	25640.66
3	16	01	3	09952995	SWR 66291-170	116 ✓	390.00	25250.66
3	16	01	35	1619912	DNH 66427-024	365	400.00	24850.66
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3	16	01	38	66457-140 76223986	DNH 066457	361 ✓	325.00	24425.66
3	16	01	42	PAYMENT		701	-30000.00	54425.66
3	16	01	61	09759346	SSN 66211-387-2	101	710.00	53715.66
3	16	01	62	29130644	SSN 66309-123	205 ✓	65.00	53650.66
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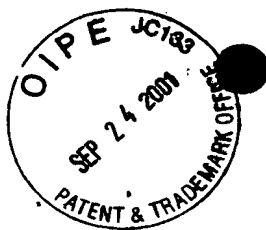
AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE



## 2. FEE FOR FILING NOTICE OF APPEAL

Pursuant to 37 C.F.R. § 1.17(b), the fee for filing the Notice of Appeal is:

- ☐ small entity \$150.00  
☒ other than a small entity \$300.00

Notice of Appeal fee due \$ 310.00

## 3. EXTENSION OF TERM

*NOTE: The time periods set forth in 37 C.F.R. § 1.191 are subject to the provision of § 1.136 for patent applications. 37 C.F.R. § 1.191(d). (But see 37 C.F.R. § 1.645 for extension of time in interference proceedings and 37 C.F.R. § 1.550(c) for extension of time in reexamination proceedings).*

*(complete (a) or (b), as applicable)*

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.17(a)(1)-(4)) for the total number of months checked below:

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<input checked="" type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

Fee: \$ 890.00

If an additional extension of time is required, please consider this a petition therefor.

*(check and complete the next item, if applicable)*

- ☒ An extension for 2 months has already been secured. The fee paid therefor of \$ 390.00 is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 500.00

or

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## 4. TOTAL FEE DUE

The total fee due is:

Notice of Appeal fee \$ 310.00

Extension fee (if any) \$ 500.00

TOTAL FEE DUE \$ 810.00

(Notice of Appeal from the Primary Examiner to Board [9-6]—page 2 of 3)



UNITED STATES DEPARTMENT OF COMMERCE  
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MONTHLY STATEMENT  
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4	12	01	84	76237240	WFK 83571-003	361 ✓	325.00	27383.66
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4	16	01	45	1631309	DNH 66325-185	372	100.00	16005.66
4	16	01	68	75740708	WFK 65130-001	364 ✓	150.00	15855.66
4	16	01	105	396013	WFK 65175-144	567	30.00	15825.66
4	16	01	130	1640107	CRR 61024-010	372 ✓	100.00	15725.66
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4	16	01	324	09371495	RHT 72015-7	142 ✓	1240.00	11995.66

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CLOSING BALANCE

\*\*\* O.D. INDICATES OVERDRAWN